



#2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Burgess R. Johnson et al.

Serial No.: 09/218,816

Examiner: Unknown

Filed: December 22, 1998

Group Art Unit: Unknown

For: EFFICIENT SOLID-STATE LIGHT EMITTING DEVICE WITH EXCITED
PHOSPHORS FOR PRODUCING A VISIBLE LIGHT OUTPUT

Docket No.: 1004.1118101

TRANSMITTAL SHEET

RECEIVED

Assistant Commissioner for Patents
Washington, D.C. 20231

MAR 22 1999

TECHNOLOGY CENTER 2800

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 16th day of March, 1999.

By _____

Brian N. Tufte

We are transmitting herewith the attached:

Amendment

No additional fee required
 The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3) REMAINING CLAIMS	(4) HIGHEST PAID	(5) EXTRA	SMALL ENTITY		OTHER	
				RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPEN- DENT CLAIMS	-	=		X39=	\$	X78=	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+130=	\$	+260=	\$
TOTAL				\$		\$	

#2151
T. U. S. N.Y.
5-18-99

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Burgess R. Johnson et al.

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INFORMATION DISCLOSURE STATEMENT

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By _____

Brian N. Tufte

Dear Sirs:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

RECEIVED

Respectfully submitted,

MAR 22 1999

Burgess R. Johnson et al.

TECHNOLOGY CENTER 2800

By their attorney,

Brian N. Tufte, Reg. No. 38,638

CROMPTON, SEAGER & TUFTE, LLC

331 Second Avenue South, Suite 895

Minneapolis, MN 55401-2246

Telephone: (612) 677-9050

Facsimile: (612) 359-9349

Dated: March 16, 1999

[] A check in the amount of \$_____ is enclosed.

[] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.

[X] Other: INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449, AND CITED REFERENCES .

[X] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: John Smith

Brian N. Tufte

Reg. No. 38.638

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